Trading & Demat Account Opening Process

Investor Coming to HO (Member Office)

Investor fill up Account Opening Form for Trading & Demat account opening along with KYC, Mandatory & Non-Mandatory docs along with supporting docs like ID proof *, Add. Proof#, Bank proof @ and Demat Proof^ (if outside DP account need to map) at HO

HO – Verifying KYC / AOF along with Supporting Docs and putting the stamp of "IPV" and "Verified with Original".

Document Completed

PAN verified and Aadhar seeding check with Income Tax Site

HO entered data in Back-Office with used of concept Maker & Checker for Trading & Demat Account Opening * ***(UCC code providing Broker to the Client)***

Document Incompletes

HO takes Follow-up with Investor & after completion of docs then update in System

Back-Office Demat data upload to CDAS Platform & Demat Account Number is Obtain from CDSL

Back-Office UCC data upload to Exchange Platform UCC
NSE - https://ims.connect2nsccl.com/MemberPortal/
BSE- https://ucc.bseindia.com/newUCC/UCC_Login.aspx

After opening the account KRA and CKYC has to be done.

Send a Client Welcome letter along with Client Master to Client within 07 days from the date of Account Opening.

Note:- Proof's acceptable
As Per Regulator which is Mention in Account Opening Form